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| Examiner Monplaisir G. Hamilton | US PTO Art Unit 2172 | (703) 746-9118 | |

FROM: Marc E. Brown **Direct Phone:** (310) 788-1569
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Sent By: Jessica Brown **Direct Phone:** (310) 284-6173
Client/Matter/Tkpr: 69230-011-6806 **Original Follow by Mail:** No
Number of Pages, Including Cover: //

Re: U.S. Patent Application Serial No. 09/836,653

MESSAGE:

Please see Proposed Amendment and Response to Office Action Mailed January 9, 2004 and Applicant Initiated Interview Request Form for Interview on April 8, 2004, at 2:00 p.m., EDT.

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JESSICA BROWN AT (310) 284-6173 AS SOON AS POSSIBLE.

Applicant Initiated Interview Request Form

Application No.: 09 / 455,008 First Named Applicant: DURKALSKI, Wesley P.
 Examiner: HAMILTON, Monplaisir Art Unit: 2172 Status of Application: Pending

Tentative Participants:

(1) Marc E. Brown (2) Monplaisir Hamilton
 (3) Tiffany Scurry (4) _____

Proposed Date of Interview: 04-08-2002 Proposed Time: 2:00 p.m. (AM/PM)

Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: _____

Issues To Be Discussed

| Issues (Rej., Obj., etc) | Claims/ Fig. #s | Prior Art | Discussed | Agreed | Not Agreed |
|-----------------------------|--------------------|------------------|--------------------------|--------------------------|--------------------------|
| (1) <u>Rej.</u> | <u>1, 21</u> | <u>5,963,967</u> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (2) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (3) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (4) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

Umen discloses generating forms for use in preparing clinical trial data for submission after the trial is conducted. The rejected claims recite generating a management system useful during a trial for obtaining and managing data in accordance with specific clinical trial governance rules.

An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

 (Applicant/Applicant's Representative Signature)

 (Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.